

07-20-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**CERTIFICATE OF EXPRESS MAILING

Attorney Docket No. NAI1P022/01.106.01

First Named Inventor:

Anton C. Rothwell et al.

11000 U.S. PTO  
09/916599  
07/26/01

07/26/01  
JCS12  
07/26/01

Transmittal and the documents and/or fees itemized hereon and  
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**EL867701758US****UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Anton C. Rothwell, Luke D. Jagger, William R. Dennis, and David R. Clarke

For: INTELLIGENT SPAM DETECTION SYSTEM USING STATISTICAL ANALYSIS

Application Elements:

- ☒ 20 Pages of Specification, Claims and Abstract  
☒ 07 Sheets of Drawings  
☒ 03 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of **\$40.00** enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Small Entity Statement(s)  
☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)  
☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<b>OR</b>	<u>LARGE ENTITY</u>	
	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE			\$355	\$	OR	\$710	\$ 710
TOTAL CLAIMS	<u>22</u>	-20 = <u>02</u>	x09 =	\$	OR	x18 =	\$ 36
INDEP CLAIMS	<u>04</u>	-03 = <u>01</u>	x40 =	\$	OR	x80 =	\$ 80
[ ] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$ 00
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<b>\$ 826.00</b>

☒ Check No. 457 in the amount of \$866.00 is enclosed.

☐ No check enclosed, please charge Deposit Account No. 50-1351

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-1351 (Order No. NAI1P022/01.106.01).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-1351 (Order No. NAI1P022/01.106.01).

☒ Please send correspondence to the following address:

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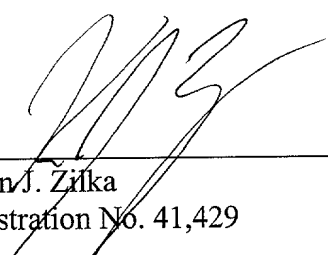
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PATENT TRADEMARK OFFICE

Date:

07/24/01

  
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Kevin J. Zilka  
Registration No. 41,429

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.